



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO	
08/935,717	09/23/1997	MICHAEL CATT	IMIN.P-014	8965	
21121 7	590 03/25/2004		EXAM	EXAMINER	
OPPEDAHL AND LARSON LLP			PORTNER, VIRGINIA ALLEN		
P O BOX 5068 DILLON, CO			ART UNIT	PAPER NUMBER	
DIEBOTA, CO CONCE DOCC			1645		

DATE MAILED: 03/25/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	Applicant(s)				
	08/935,717	CATT ET AL.				
Office Action Summary	Examiner	Art Unit				
	Ginny Portner	1645				
The MAILING DATE of this communication Period for Reply	appears on the cover sheet t	with the correspondence ac	ldress			
A SHORTENED STATUTORY PERIOD FOR RE THE MAILING DATE OF THIS COMMUNICATIO - Extensions of time may be available under the provisions of 37 CFF after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a - If NO period for reply is specified above, the maximum statutory per - Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the mearned patent term adjustment. See 37 CFR 1.704(b).	N. R 1.136(a). In no event, however, may a reply within the statutory minimum of the fid will apply and will expire SIX (6) MC atute, cause the application to become	a reply be timely filed nirty (30) days will be considered time DNTHS from the mailing date of this of ABANDONED (35 U.S.C. § 133).	ly. ommunication.			
Status						
1) Responsive to communication(s) filed on $\underline{0}$	7 November 2003.					
2a) This action is FINAL . 2b) ⊠ T	2b)⊠ This action is non-final.					
,	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposition of Claims						
4) Claim(s) 11-36 is/are pending in the application 4a) Of the above claim(s) is/are with 5) Claim(s) is/are allowed. 6) Claim(s) 11-16,19-22,25-27 is/are rejected. 7) Claim(s) 17,18,23,24 and 28-36 is/are objection and claim(s) are subject to restriction and claim(s)	drawn from consideration.					
Application Papers						
9)☐ The specification is objected to by the Exam	niner.					
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to						
Replacement drawing sheet(s) including the cor						
Priority under 35 U.S.C. § 119		٠				
12) Acknowledgment is made of a claim for fore a) All b) Some * c) None of: 1. Certified copies of the priority docum 2. Certified copies of the priority docum 3. Copies of the certified copies of the paplication from the International But * See the attached detailed Office action for a	ents have been received. ents have been received in priority documents have been reau (PCT Rule 17.2(a)).	Application No. <u>08/935,71</u> en received in this National				
Attachment(s) 1) ☑ Notice of References Cited (PTO-892)		v Summary (PTO-413)				
 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB Paper No(s)/Mail Date 11/7/2003. 	·	o(s)/Mail Date f Informal Patent Application (PT 	O-152)			

U.S. Patent and Trademark Office PTOL-326 (Rev. 1-04) Application/Control Number: 08/935,717 Page 2

Art Unit: 1645

DETAILED ACTION

Claims 11-36 are pending.

1. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on November 7, 2003 has been entered.

Allowable Subject Matter

3. Claims \$17-18, 23-24, 28-30 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Information Disclosure Statement

- 4. The information disclosure statement filed November 7, 2003 has been considered.
- 5. The "AAR" reference, Albertson et al, Review Article, does not show a date of publication on the US PTO-1449, the citation is incomplete.

Rejections Withdrawn

6. Claims 12-14 and 16-30 rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention has been obviated in light of the amendment of claim 12, to

Art Unit: 1645

recite a capability, through the recitation of "wherein an assay result is revealed", rather than requiring the presence of the labeled reagent to be on the porous carrier.

Rejections Maintained

Claim Rejections - 35 USC § 102

7. Claims 11-16, 19-22 and 25-27 rejected under 35 U.S.C. 102(b) as being anticipated by Catt et al (WO95/13531).

Double Patenting

8. Claim 11 rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1, 6-9 of U.S. Patent No. 6,235,241 and claims 1,5-6 of US Patent 6,451,619. Although the conflicting claims are not identical, they are not patentably distinct from each other because the allowed claims are species of the instantly claimed genus of kits; the species anticipating the instantly claimed genus; the genus is obvious over an allowed species of invention.

Response to Arguments

- 9. Applicant's arguments filed November 7, 2003 have been fully considered but they are not persuasive.
- 10. The obviousness type double patenting rejection, made of record in paper number 22, was not addressed, and therefore maintained for reasons of record.

Art Unit: 1645

- 11. The rejection of claims 11-16, 19-22, 25-27 under 35 USC 102 (b) as being anticipated by WO 95/13531) is traversed on the grounds that page 5, lines 5-10 do not define a means for initiation of the reading device.
- 12. It is the position of the examiner that the initiation means is positively recited in instant claim 13, to be a contact portion and a switch actuator; this specific embodiment is disclosed in WO95, at page 34, claim 13, lines 29-33; page 24, lines 27-31. This specific means for initiation is encompassed by the independent instant claim 11. The examiner in paper number 22, page 4, paragraphs 3 and 4, cites page and line numbers for this specifically claimed species of invention encompassed by the genus claim 11. WO95 does disclose and anticipate the instantly claimed invention. It was noted that Applicant did not address the portion of WO95' at page 34, claim 13, in the traversal submitted.
- 13. Applicant asserts that the initiation switch of WO95/ "does not participate in the formation of the lock and key interaction with the assay device".
- 14. It is the position of the examiner that the claims recite the phrase "means for initiation of the reading device engages the assay device in a lock and key interaction" and is not required in the "formation of the lock and key interaction as argued. Applicant's arguments are not commensurate in scope with the instantly claimed invention. Engaging and forming can define and constitute two different embodiments of an invention, what is claimed is the initiation means must engage not form the lock and key. The term "engages" defines an interactive relationship between the lock and key configuration but does not require the initiation means to form the lock and key configuration, but does require that upon receipt of the assay device the initiation means

Art Unit: 1645

must contact a portion of the switch actuator which is a portion of the initiation means (claim limitations set forth in instant claim 13, which depends from independent claim 11), thus only requiring a portion of the initiation means to be in contact with the assay device. The disclosure of WO95 discloses this embodiment as now claimed (see Response to Arguments, incorporated by reference herein, in paper number 22, pages 3-7).

New Claims and Claim Limitations/New Grounds of Rejection

Claim Objections

15. Claims 31-36 are objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. Claims 31-36 directly or indirectly depend from a canceled claim 1 (Claims 31 and 32 both depend from canceled claim 31), and therefore do not further limit an independent claim.

Claim Rejections - 35 USC § 112

16. Claims 31-36 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 31-36 depend from a canceled claim, therefore are unclear as what the missing claim limitations are, are not distinctly claimed.

Art Unit: 1645

j

Conclusion

- 17. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure; the cited reference are deemed to be duplicative of the applied reference or to teach embodiments that define the state of the art at the time of filing of the instant specification.
- 1. Catt et al (EP 0653625 (see claims 11-22) and EP0703454 (see claim 7)) are cited to show reading devices with test strips and kits that comprise the devices and test strips.
- 2. Catt et al (provisional Application 2003/0044317 and 2002/0137220) are cited to show reading devices and a method of use.
- 3. Catt et al (US Pat. 6,403,380 and 6,454,726) are cited to show test devices and methods.
- 4. Catt et al (US Pat. 6,234, 974) is cited to show a test kit that comprises an electronic device.
- 5. Catt et al (US Pat. 5,467,778) is cited to show a method that utilizes a test device.
- 6. Deuter (US Pat. 5,445,967) is cited to show a device and test strip (see all claims).
- 7. McGeehan et al (US Pat. 5,411,858) is cited to show a test device with an initiation area (see claims 6-9).
- 8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ginny Portner whose telephone number is (571) 272-0862. The examiner can normally be reached on 7:30-5:00 M-F, alternate Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynette Smith can be reached on (571) 272-0864. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 1645

Page 7

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

applications is available through Private PAIR only. For more information about the PAIR

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR

system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Vgp

March 15, 2004

MARK NAVARRO
PRIMARY EXAMINER